

# PRICE LIST FOR INVESTMENT SERVICES



## 1. Brokerage services

The fees are expressed in gross amount and include the costs of the trading venue, the CDCC or other depository or counterparty and the broker to whom the order is transferred for execution.

The estimated amount of aggregated costs and fees in the absolute amount is calculated by multiplying the amount of the fee in percentage with the total amount of the transaction. If such amount is less than the amount of the defined minimum fee, the minimum fee will be charged.

### Example:

The Client Brokerage fee is 1,00% gross and a minimal fee is 33,18 EUR. For the transaction in the amount of 132.722,8 EUR Client will be charged 1,00% gross fee, and the aggregate amount of costs and fees will be 1.327,23 EUR. In case of a transaction in the amount up to 1.327,22 EUR Client will be charged a minimum fee of 33,18 EUR (since a minimum fee is larger than  $0,75\% \times 1.327,23$  EUR).

### Additional Notes:

- Fees for Professional and Qualified investors are agreed on a case-by-case basis bilaterally with the Company
- Fees in the Price list are maximum amounts and can be agreed to be lower bilaterally with the Client

## 1.1. Trading with stocks and ETF/ETC/ETN

### 1.1.1. Placing orders in person

Market	Fee*	Minimal fee per order
Zagreb & Ljubljana	1,00 %	33,18 EUR
Other	1,00 %	**

### 1.1.2. Placing orders by phone or e-mail

Tržišta	Fee*	Minimal fee per order
Zagreb & Ljubljana	1,00 %	33,18 EUR
Other	1,00 %	**

### Notes for 1.1.1. and 1.1.2.

\* Fees are expressed in gross amount and include our brokerage fee and the cost of other executing brokers (the cost of other executing brokers is not charged for Zagreb and Ljubljana stock exchange).

\*\* Minimal fee per order for most other exchanges is ten units of currency of the exchange on which financial instrument is traded: USA 10,00 USD, EU 10,00 EUR, UK 10,00 GBP, CH 10,00 CHF. Other exchanges: CAN 50,00 CAD, AUS 200,00 AUD, and on request.

### Additional notes:

- The Company will charge an **extra fee of 2,65 EUR** per each transaction within the execution of an order for securities that are settled as a trade-for-trade settlement in the CDCC, regardless of the way of placing orders.
- Additional costs connected to trading on specific Exchanges (i.e., SEC FEE in the US, Stamp duty in the UK...) are not included in stated fees and will be charged additionally according to local rules of said exchanges
- Fee for opening or canceling the order is not charged
- Any changes in quantity or price of the order are considered a new order

### 1.1.3. Placing orders via trading platform

Market	Fee*	Minimal fee per order
Zagreb & Ljubljana	0,75 %	9,95 EUR
Other	0,75 %	**

#### Notes

\* Fees are expressed in gross amount and include our brokerage fee and the cost of other executing brokers (the cost of other executing brokers is not charged for Zagreb and Ljubljana stock exchange).

\*\* Minimal fee per order for most other exchanges is ten units of currency of the exchange on which financial instrument is traded: USA 10,00 USD, EU 10,00 EUR, UK 10,00 GBP, CH 10,00 CHF. Other exchanges: CAN 50,00 CAD, AUS 200,00 AUD and on request.

#### Additional notes:

- The Company will charge an **extra fee of 2,65 EUR** per each transaction within the execution of an order for securities that are settled as a trade-for-trade settlement in the CDCC, regardless of the way of placing orders.
- Additional costs connected to trading on specific Exchanges (i.e., SEC FEE in the US, Stamp duty in the UK...) are not included in stated fees and will be charged additionally according to local rules of said exchanges
- Fee for opening or canceling the order is not charged
- Any changes in quantity or price of the order are considered a new order

### 1.1.3.1. Trading via trading platform – additional services and notes

Market	Cost of the platform	Price feed
Zagreb & Ljubljana	No	Real time
Other	Depending on the client's activity **	15 min delay **

#### Notes

\* Company keeps the right to cancel usage of the trading platform in case on Client inactivity and/or brokerage and custody fee paid by the Client to the Company in one Quarter (3 months) is less than 265,44 EUR

\*\* Monthly fee for real time prices for other exchanges is 66,36 EUR. Said fee is charged in net amount with respect to potential tax and is increased by potential tax, if applicable

### 1.2. Trading with debt instruments

Market	Fee*	Minimal fee per order
Zagreb & Ljubljana	0,25 %	33,18 EUR
Other	0,25 %	Depending on the market**

#### Notes

\* Fees are expressed in gross amount and include our brokerage fee and the cost of other executing brokers (the cost of other executing brokers is not charged for Zagreb and Ljubljana stock exchange).

\*\* Minimal fee per order for most other exchanges is ten units of currency of the exchange on which financial instrument is traded: USA 10,00 USD, EU 10,00 EUR, UK 10,00 GBP, CH 10,00 CHF. Other exchanges: CAN 50,00 CAD, AUS 200,00 AUD and on request.

#### Additional notes:

- The Company will charge an **extra fee of 2,65 EUR** per each transaction within the execution of an order for securities that are settled as a trade-for-trade settlement in the CDCC, regardless of the way of placing orders.
- Additional costs connected to trading on specific Exchanges (i.e., SEC FEE in US, Stamp duty in UK...) are not included in stated fees and will be charged additionally according to local rules of said exchanges
- Fee for opening or canceling the order is not charged
- Any changes in quantity or price of the order are considered a new order

### 1.3. OTC and Block trades on Zagreb stock exchange

Market	Fee	Minimal fee per order
OTC*	3,00 %	39,82 EUR
Block**	Agreeable	Agreeable

#### Notes

\* OTC transaction – transaction with securities concluded outside Regular market or MTP

\*\* According to ZSE Rules, Block transactions are transactions with securities listed on the Regulated market or MTP, concluded via one or more stock exchange members, agreed bilaterally, and reported via stock exchange trading system.

#### Additional Notes

- Fee for opening or canceling the order is not charged
- Any changes in quantity or price of the order are considered a new order
- Fees are expressed in a gross amount including the cost of the market and the depository

### 2. Fee for opening of the CCP account\*

Account type	Annual fee amount
Omnibus	Free of charge
Individual	3.318,07 EUR

#### Notes

\* refers to Croatian Capital Market

\*\* The annual fee is due and payable in advance, before opening of the individual account. Opening and management of the omnibus account is free of charge

### 3. Early payments

Clients are entitled to request early payment of funds received from the sale of securities (less than standard settlement date T+2 or other specific rules of trading, clearing and settlement).

The fee for the early payment amounts to 1,5% of the amount that is to be paid out, not less than 26,54 EUR.

## 4. Custody services

### Example 1.

The Client paid 100.000,00 EUR and purchased 10 DTE GY shares on the German market. Besides the brokerage fee which is paid immediately in the transaction, the Client is additionally charged 15,00 EUR transaction fee on the monthly invoice.

### Example 2.

The Client holds 30.000,00 EUR on his custody account at InterCapital, made purchases of GOOGL US and TSLA US on the US market and wants a conversion from EUR to USD for the total amount. In addition to the brokerage fee which is paid immediately in the transaction, the Client is charged twice for transaction fee (2\*15,00 EUR) on the monthly invoice. There is no fee for the conversion from EUR to USD but InterCapital can use exchange rate with margin included, as explained in the point 10 of this price list.

### Example 3.

The Client holds shares in Germany and USA (REGION 2) in the amount of 100.000,00 EUR on his custody account at InterCapital. The Client pays annual safekeeping fee in the amount of 150 EUR (0,15%). Daily fee is calculated by dividing annual fee with number of days in that year and equals 0,41 EUR. As the invoice is issued on the monthly basis, total monthly fee for safekeeping will be 12,30 EUR (0,41\*30 days) + VAT (if applicable).

#### 4.1. Opening/closing the account

Free of charge

#### 4.2. Cash transfers

Fee amount

Incoming payments in all currencies	FREE OF CHARGE
Outgoing payments in EUR currency	FREE OF CHARGE
Outgoing payments in other currencies*	25,00 EUR

- Outgoing payments refer to the outflow from the custody account to the client's bank account

#### 4.3. Safekeeping fee

Annual fee

Croatia	0,12% + VAT
Romania	0,20% + VAT
REGION 1 - Clearstream - Eurobonds, Luxembourg	0,15% + VAT
REGION 2 - Austria, Belgium, Canada, Denmark, Finland, France, Germany, Ireland Netherlands, Norway, Portugal, Slovenia, Spain, Sweden, Switzerland, Uk, United States Of America	0,15% + VAT
REGION 3 - Australia, Czech Republic, Greece, Hong Kong, Hungary, Israel, Japan, Poland, Singapore	0,25% + VAT
REGION 4 - Bosnia And Herzegovina, Bulgaria, Serbia, Slovakia, Turkey	0,50% + VAT

- The fee is being calculated on a monthly basis, according to the daily values of the financial instruments on the end of the day.
- Minimum monthly safekeeping fee per account is 5,00 EUR + VAT
- Minimum monthly fee will not be calculated if there was no asset on the custody account in that month (nor cash nor financial instruments)

#### 4.4. Transaction fee

Fee Amount

Croatia - FOP settlement (including the transactions executed through InterCapital)	6,00 EUR
Croatia - DVP settlement	16,00 EUR
Romania	25,00 EUR
REGION 1 - Clearstream - Eurobonds, Luxembourg	15,00 EUR
REGION 2 - Austria, Belgium, Canada, Denmark, Finland, France, Germany, Ireland Netherlands, Norway, Portugal, Slovenia, Spain, Sweden, Switzerland, Uk, United States Of America	15,00 EUR
REGION 3 - Australia, Czech Republic, Greece, Hong Kong, Hungary, Israel, Japan, Poland, Singapore	45,00 EUR
REGION 4 - Bosnia And Herzegovina, Bulgaria, Serbia, Slovakia, Turkey	100,00 EUR

- The fee is being calculated per ISIN on the day transaction/transfer is done (BUY and SELL separately)

4.5. Processing of corporate actions	Fee amount
Collecting and allocating of income*	7,00 EUR
Credit or debit of financial instruments as a result of the corporate action	7,00 EUR
Processing of optional voluntary corporate actions	30,00 EUR
Registration to the General Meeting and PoA issuance**	30,00 EUR + VAT
Proxy voting**	100,00 EUR + VAT

\* The fee is being calculated only if the income is higher than the fee.

\*\* Fees related to the general assembly are increased by actual costs (e.g. signature verification, travel expenses, etc.)

4.6. Deliveries without change of the beneficial owner	Fee amount
Transfer of securities to another broker/custodian	30,00 EUR + transaction fee from point 4.

4.7. Execution of documents with change of the beneficial owner	Fee amount
Transfer of securities with change of the beneficial owner	0,10%, min 30,00 EUR - max 700,00 EUR
• The value of the transaction is calculated based on the market value. If not applicable, then according to the nominal value.	

4.8. Services related to tax issues	Fee amount
Implementation of the lower tax rate on the dividend payments in Slovenia*	30,00 EUR + VAT
Issuance of TAX vouchers**	50,00 EUR + VAT
Collecting documentation for the application of DTT, for intermediaries, annual fee	250,00 EUR + VAT

\* Based on the double taxation treaty (DTT), for other markets by agreement

\*\* Increased for actual third party costs

4.9. Preparation of reports	Fee amount
Creating of non-standard reports	30,00 EUR + VAT
Ad hoc sending of reports, upon request	10,00 EUR + VAT

#### 4.10. FX transactions

The amount that client saves is a result of the fact that Company offers foreign exchange service to the clients by using the preferential exchange rate that is available to the Company on the fx market instead of the official exchange rate from the commercial bank. The exchange rate that is quoted for the client includes the Company's margin which is the product of a factor up to a maximum of 0.3 with the difference between the Company's preferential exchange rate and the official exchange rate from the commercial bank.

## 5. Transactional account

### Example 1.

Client's position on Transactional account is 100.000 regular shares of HT-R-A. The price of 1 HT-R-A share on the last day of the month amounts 25,00 EUR. The calculation basis is 2.500.000,00 EUR. Applied fee is 0,05% p.a., which makes the calculated monthly fee in the amount of 104,17 EUR ( $2.500.000,00 * 0,05\% / 12$ ). The aforementioned fee amount is increased by VAT, if applicable.

### Example 2.

A client requests a transfer of 1.000 regular shares of HT-R-A to an unregistered position (deregistration). Also, during the same month a client requests a registration of FAMT 5.000 of RHMF-O-253B to the transactional account. In addition to the position maintenance fee, transaction fee in the amount of 14,00 EUR is applied (deregistration and registration of the position  $2 * 7,00$  EUR).

### Example 3.

A client requests a transfer of 2.000 regular shares of HT-R-A to another person based on a gift of equity contract. Market value of the contract amounts 50.000,00 EUR, and the fee in the amount of 50,00 EUR is calculated ( $0,10\% * 50.000,00$ ).

### Example 4.

A client wishes to participate on a General Meeting of the issuer Podravka d.d. To participate on a GM, InterCapital registers a participation and issues a PoA. A fee in the amount of 30,00 EUR + VAT is calculated for the service.

#### 5.1. Account opening/closing

Free of charge

#### 5.2. Securities position maintenance on transactional account

Annual fee

Croatia 0,05% + VAT

\* The calculation basis of the securities position maintenance service is the value of the securities on the last day of the month. The fee is calculated monthly.

\*\* Minimum monthly fee for maintenance is 5,00 EUR + VAT per account

#### 5.3. Transaction fee

Fee amount

Delivery versus payment transfer	50,00 EUR
Free of payment transfer	10,00 EUR
Registration of the position from/to the client's account	7,00 EUR

\* The fee is calculated per ISIN on the day of the transaction/transfer (BUY and SELL separately). The fee will not be calculated if the transaction is executed with InterCapital.

#### 5.4. Execution of the securities transfer contract

Fee amount

Transfer based on the purchase contract, gift of equity contract, etc. 0,10%, min 30,00 EUR, max 700,00 EUR

#### 5.5. General meetings

Fee amount

Collecting and allocating of income (dividends, coupons, etc.)*	7,00 EUR
Credit or debit of financial instruments as a result of the corporate action	7,00 EUR
Processing of optional voluntary corporate actions (acceptance of a takeover bid, participation in recapitalization etc.)	30,00 EUR
Registration to the GM and PoA issuance	30,00 EUR + VAT
Proxy Voting Services**	100,00 EUR + VAT

\* The fee is being calculated only if the income is higher than the fee.

\*\*Increased by the incurred real costs.

## 6. Final provisions

- In case of an obligation to pay other/additional costs such as taxes or other charges, which are not paid to the Company or via the Company, the same will be borne by the client.
- For all other services that are not included in this Price list the fee is being calculated according to the agreement with the Client.
- InterCapital may charge any other unpredictable fees related to the transaction execution or related services, as well as all other fees, taxes, local custody fees, cash transfer fees, corporate action fees and any other fees calculated by the 3rd parties, no matter if they are stated in this Price list
- In extremely complex transactions, transactions with illiquid financial instruments and other similar transactions whose realization depends largely on the position and reputation of the Company on the capital market and the quality of services that the Company provides, the Company and the client will agree on fees that can be higher than those listed in this Price List.
- Tied agents of the Company can use different Price list.
- The Company is authorized to make modifications and additions to the Price list at any time, in accordance with relevant General Conditions and/or the provisions of the relevant agreements with the Clients.
- This Price List shall be made available at the premises of the Company and on the Company's Web site.
- The Price list shall become effective on the October 1st, 2024., and as of the same day the earlier price list shall cease to be in effect.